

# Age Assurance Technology Trial: Risk Register

RISK DESCRIPTION & CAUSES			MITIGATION & CONTINGENCY ACTIONS		
<b>KEY RISKS</b>					
01. Data Ethics associated with Working with Human Test Subjects, including Aboriginal and Torres Strait Islander peoples. (WP1 - 6). There is the possibility that the Technology Trial may not address the critical risks associated with data, ethics and impartiality that will arise throughout the project when it comes to working with human test subjects.			STRATEGY: PREVENT. The creation of key project documentation and processes, including an Ethics Handbook (A-1.1.1), a Data Collection Ethical Protocol (A-1.1.3), a Human Test Subjects Protocol (A-1.3.1), and Application of the AIATSIS Code of Ethics for Aboriginal and Torres Strait Islander Research for Data Collection Phase (A-1.3.2) will address any issues relating to the data ethics of working with human test subjects.		
<b>Likelihood 7/10</b>	<b>Impact 8/10</b>	<b>Assessment 56/100</b>	<b>Likelihood 5/10</b>	<b>Impact 7/10</b>	<b>Assessment 35 /100</b>
02. Exclusion of Aboriginal and Torres Straight Islander peoples (WP1 -6). The Technical Trial may risk excluding certain demographics, including aboriginal people, from participation.			STRATEGY: PREVENT. The project needs to ensure that multi-ethnic diverse communities are included in the demographic spread of human test subjects. This will be achieved through the application of the AIATSIS Code of Ethics for Aboriginal and Torres Strait Islander Research, and initial and ongoing Equality, Diversity and Inclusion Monitoring.		
<b>Likelihood 7/10</b>	<b>Impact 9/10</b>	<b>Assessment 63/100</b>	<b>Likelihood 5/10</b>	<b>Impact 3/10</b>	<b>Assessment 15/100</b>
03. Lack of public trust in the project. There is the risk that the general public won't have trust in the age assurance technology trial and its goals and objectives, which would reduce project credibility.			STRATEGY: ACCEPT. This is a risk that can't be avoided; public trust can be subjective. However, Work Package 3 deals with Communications, including creation of a Project Website and blog, and aims to secure transparency and maintain public confidence in the project. The sub-contractor who will build the website has a wealth of experience and impressive portfolio in this field.		
<b>Likelihood 6/10</b>	<b>Impact 7/10</b>	<b>Assessment 42/100</b>	<b>Likelihood 3/10</b>	<b>Impact 4/10</b>	<b>Assessment 12/100</b>
<b>MANAGERIAL RISKS</b>					
M01. Project Time Line (WP6). As there are a number of interdependencies between the Work Packages, including requirements for completion of certain milestones before further activity can progress, this runs the risk of project over-run if milestones are missed. Failure to meet timelines would reduce alignment with progress in the age assurance space, as well as being unprofessional.			STRATEGY: REDUCE. The Project will be managed through the PM2 Quality Management Suite and in accordance with ACCS's Integrated Management System. The fortnightly Project Team Meetings, as well as the Monthly Contract Meetings, will maintain impetus and reduce the risk of project over-run.		
<b>Likelihood 5/10</b>	<b>Impact 7/10</b>	<b>Assessment 35/100</b>	<b>Likelihood 2/10</b>	<b>Impact 7/10</b>	<b>Assessment 14/100</b>
M02. Undertaking this project with Australian and UK sub-contractors. As a variety of different sub-contractors are involved in the technology trial, we may see some risk in project management owing to individuals moving at different speeds.			STRATEGY: PREVENT. This risk will be prevented by maintaining a well-planned Project Plan. Everybody involved in the project in their different capacities are well aware of the fact this is an 8 month project and a pro active working attitude and efficiency is key.		
<b>Likelihood 5/10</b>	<b>Impact 4/10</b>	<b>Assessment 20/100</b>	<b>Likelihood 2/10</b>	<b>Impact 4/10</b>	<b>Assessment 8/100</b>
M03. Engagement and Communications (WP3). Participants need to be recruited for the technology trial, including test subjects and age assurance providers, but there runs the risk of an insufficient number and types of participants being found.			STRATEGY: REDUCE. Maintaining a comprehensive and thorough Project Plan, including the establishing of a Project Advisory Board, hosting of an initial Project Stakeholder Event to set out the project plan, and outreach to at least six schools geographically spread across Australia to secure test subject participation, will massively reduce this risk.		
<b>Likelihood 8/10</b>	<b>Impact 7/10</b>	<b>Assessment 56/100</b>	<b>Likelihood 4/10</b>	<b>Impact 8/10</b>	<b>Assessment 32/100</b>
<b>ENVIRONMENTAL, SOCIAL, GOVERNANCE (ESG) AND OTHER RISKS</b>					
ESG01. The Call for Participation, seeking test subjects, including children, poses the risk that children may not be appropriately safeguarded in the technology trial.			STRATEGY: PREVENT. Creation of a thorough and well thought out Project Plan, taking into account the ethics of having children as participants, will prevent this risk. The recruitment process includes establishing the consent mechanisms (T1.1), safeguarding children (T1.3) and the ethical considerations surrounding this (T1.3).		
<b>Likelihood 6/10</b>	<b>Impact 9/10</b>	<b>Assessment 54/100</b>	<b>Likelihood 3/10</b>	<b>Impact 6/10</b>	<b>Assessment 18/100</b>